

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
1998JP313/CIP

Total Pages in this Submission  
39

### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**COATING COMPOSITION**

and invented by:

**TAKAMASA HARADA et al**

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/763,927

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 18 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☐ Brief Description of the Drawings (if filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

The PTO did not receive the following  
listed item(s): Specification 18 page

00746 U.S. PTO  
10/608392

06/27/03

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
1998JP313/CIP

Total Pages in this Submission  
39

## Application Elements (Continued)

3. ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets \_\_\_\_\_
- b. ☐ Informal Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☐ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EV 068893142

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

39

## P01ULRG/REV06

UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
1998JP313/CIP

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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	19	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$750.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$750.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 03-2060 as described below.
- ☒ Charge the amount of \$750.00 as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Dated: June 27, 2003

Alan P. Kass, Reg. 32,142  
Clariant Corporation  
70 Meister Avenue  
Somerville, NJ 08876  
telephone (908) 595-3890

cc:

Customer No. 26,289

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
**1998JP313/CIP**

In Re Application Of: **Takamasa HARADA et al**

Serial No.  
**TO BE ASSIGNED**

Filing Date  
**June 27, 2003**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**TO BE ASSIGNED**

Title: **COATING COMPOSITION**

**TO THE COMMISSIONER FOR PATENTS:**

Transmitted herewith is: 1) Preliminary Amendment - 4 pages      2) Utility Patent Application  
Transmittal - 4 pages      3) Declaration for Utility Patent Application - 2 pages      4) Patent Application  
consisting of pages 1 through 18      5) copies of Auto-Reply Facsimile Transmission, Fax Cover Sheet to  
Examiner Wilson, Petition for One-Month Extension of Time      6) postcard      7) Certificate of Mailing by  
Express Mail for items 1 through 6

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

  
Signature

Dated: **June 27, 2003**

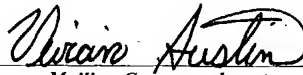
**Alan P. Kass, Reg. No. 32,142**  
**Clariant Corporation**  
**70 Meister Avenue**  
**Somerville, NJ 08876**  
**telephone (908) 595-3890**

I certify that this document and fee is being deposited  
on \_\_\_\_\_ with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

CC:

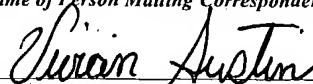
<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No.
Applicant(s): Takamasa Harada et al			1998JP313/CIP
Serial No. TO BE ASSIGNED	Filing Date June 27, 2003	Examiner TO BE ASSIGNED	Group Art Unit TO BE ASSIGNED
Invention: COATING COMPOSITION			
I hereby certify that the following correspondence:			
UTILITY PATENT APPLICATION TRANSMITTAL - PAGE 1 THROUGH 4			
(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on			
June 27, 2003 (Date)			
Vivian Austin (Typed or Printed Name of Person Mailing Correspondence)			
 (Signature of Person Mailing Correspondence)			
EV 068893142 US ( "Express Mail" Mailing Label Number)			
Note: Each paper must have its own certificate of mailing.			

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Takamasa Harada et al**Docket No.  
1998JP313/CIPSerial No.  
**TO BE ASSIGNED**Filing Date  
**June 27, 2003**Examiner  
**TO BE ASSIGNED**Group Art Unit  
**TO BE ASSIGNED**Invention: **COATING COMPOSITION**

I hereby certify that the following correspondence:

**UTILITY PATENT APPLICATION CONSISTING OF PAGES 1 THROUGH 18***(Identify type of correspondence)*

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June 27, 2003*(Date)*Vivian Austin*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV 068893142 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Takamasa Harada et al**

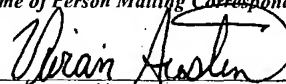
Docket No.

Serial No.  
**TO BE ASSIGNED**Filing Date  
**June 27, 2003**Examiner  
**TO BE ASSIGNED**Group Art Unit  
**TO BE ASSIGNED**Invention: **COATING COMPOSITION**

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**GENERAL TRANSMITTAL SHEET***(Identify type of correspondence)*

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**June 27, 2003***(Date)***Vivian Austin***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 068893142 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**



**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Takamasa Harada et al**

Docket No.

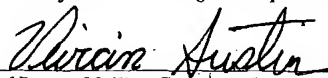
Serial No.  
**TO BE ASSIGNED**Filing Date  
**June 27, 2003**Examiner  
**TO BE ASSIGNED**Group Art Unit  
**TO BE ASSIGNED**Invention: **COATING COMPOSITION**

I hereby certify that the following correspondence:

**Petition for One-Month Extension of Time to Examiner Wilson (09/763,927) - 3 pages***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

**June 27, 2003**  
*(Date)*

**Vivian Austin***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 068893142 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Docket No.  
1998JP313/CIP

Applicant(s): Takamasa Harada et al

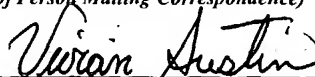
Serial No. TO BE ASSIGNED	Filing Date June 27, 2003	Examiner TO BE ASSIGNED	Group Art Unit TO BE ASSIGNED
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Invention: COATING COMPOSITION

I hereby certify that the following correspondence:

**DECLARATION FOR UTILITY PATENT APPLICATION***(Identify type of correspondence)*

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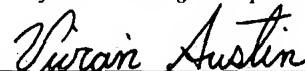
June 27, 2003*(Date)*Vivian Austin*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV 068893142 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Takamasa Harada et al**Docket No.  
**1998JP313/CIP**Serial No.  
**TO BE ASSIGNED**Filing Date  
**June 27, 2003**Examiner  
**TO BE ASSIGNED**Group Art Unit  
**TO BE ASSIGNED**Invention: **COATING COMPOSITION**

I hereby certify that the following correspondence:

**PRELIMINARY AMENDMENT - PAGES 1 THROUGH 4***(Identify type of correspondence)*

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**June 27, 2003***(Date)***Vivian Austin***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV 068893142 US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s): **TAKAMASA HARADA et al**

Docket No.

**1998JP313**

Serial No.:

**09/763,927**

Filing Date

**February 26, 2001**

**Examiner**

**WILSON, Donald R.**

### Group Art Unit

1713

Invention: **COATING COMPOSITION**

I hereby certify that this

### Petition for One-Month Extension of Time

*(Identify type of correspondence)*

is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703-872-9311

on

**June 27, 2003.**

(Date)

## Vivian Austin

**(Typed or Printed Name of Person Signing Certificate)**

(Signature)

**Note: Each paper must have its own certificate of mailing.**